

10-15-03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re Application of:</b>	<b>John C. Pederson</b>
<b>Application No.:</b>	<b>10/614170</b>
<b>Filed:</b>	<b>July 2, 2003</b>
<b>For:</b>	<b>LED Compensation Circuit</b>
<b>Group Art Unit:</b>	<b>Not Yet Assigned</b>

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: E30.2N-11114-US01

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: **4 page Information Disclosure Statement; 4 pages list of References; 9 References; and Return Postcard.**
2. With respect to fees:
  - ☒ No additional fee is required.
  - ☐ Attached is check(s) in the amount of \$
  - ☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

*John C. Pederson*




4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Sincerely,

VIDAS, ARRETT & STEINKRAUS

Date: October 13, 2003

By:   
Edwin E. Voigt II  
Registration No.: 36042

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Minnetonka, MN 55343-9185  
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**Certificate Under 37 CFR 1.10:** I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as EXPRESS MAIL, Label No. EL 992794723, addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 13, 2003.

  
Mary C. Granger



PATENT

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INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

☒ I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- ☐ (1) within 3 months of the filing date of the application (other than a CPA); or
- ☐ (2) within 3 months of entry of the national stage; or
- ☒ (3) before the mailing of a first Office Action on the merits;
- ☐ (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- ☐ (5) as part of a continued prosecution application (CPA); or
- ☐ (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

☐ II. This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- ☐ (1) a certification as specified in §1.97(e) is provided below; or
- ☐ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

\_\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- \_\_\_\_\_ (1) a certification as specified in §1.97(e) is completed below; and  
\_\_\_\_\_ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

**X** **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_\_ This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


***For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.***

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: October 13, 2003

By:   
Edwin E. Voigt II  
Registration No.: 36042

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

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<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> E30.2N-11114-US01		<b>APPLICATION NO.:</b> 10/614170	
<b>APPLICANT:</b> John C. Pederson				<b>FILING DATE:</b> July 2, 2003		<b>GROUP:</b> Not Yet Assigned	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
EXAM'S INIT.	AA	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	3469686	9/30/1969	H.W. Gutsche et al.			
	AB	4254453	3/03/1981	Mouyard et al.			
	AC	4271408	6/02/1981	Teshima et al.			
	AD	4336580	6/22/1982	Mouyard et al.			
	AE	4434510	2/28/1984	Lemelson			
	AF	4445132	4/24/1984	Ichikawa et al.			
	AG	4614866	9/30/1986	Liss et al.			
	AH	4710977	12/01/1987	Lemelson			
	AI	4724312	2/09/1988	Snaper			
	AJ	4742432	5/03/1988	Thillays et al.			
	AK	4821338	4/11/1989	Naruse et al.			
	AL	4868719	9/19/1989	Kouchi et al.			
	AM	4928084	5/22/1990	Reiser			
	AN	4975814	12/04/1990	Schairer			
	AO	5000569	3/19/1991	Nylund			
	AP	5038406	8/06/1991	Titterton et al.			
	AQ	5041947	8/20/1991	Yuen et al.			
	AR	5060303	10/22/1991	Wilmoth			
	AS	5067788	11/26/1991	Jannson et al.			
	AT	5159486	10/27/1992	Webb			
	AT	5198746	3/30/1993	Gyugyi et al.			
	AU	5291196	3/01/1994	Defour			
	AV	5298738	3/29/1994	Gebert et al.			
	AX	5400140	3/21/1995	Johnston			
	AY	5410453	4/25/1995	Ruskouski			
	AZ	5416627	5/16/1995	Wilmoth			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

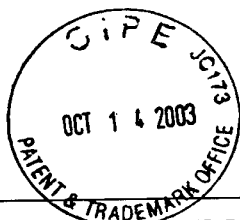


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<b>REFERENCE DESIGNATION</b>			<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
	BA	5426417	6/20/1995	Stanuch		
	BB	5434693	7/18/1995	Tanaka et al.		
	BC	5436809	7/25/1995	Brassier et al.		
	BD	5465142	11/07/1995	Krumes et al.		
	BE	5471371	11/28/1995	Koppolu et al.		
	BF	5532472	7/02/1996	Furuta		
	BG	5594415	1/14/1997	Iskikawa et al.		
	BH	5604480	2/18/1997	Lamparter		
	BI	5606444	2/25/1997	Johnson et al.		
	BJ	5612201	3/18/1997	De Plaen et al.		
	BK	5634287	6/03/1997	Lamparter		
	BL	5635902	6/03/1997	Hochstein		
	BM	5643357	6/03/1997	Nutter et al.		
	BN	5660461	8/26/1997	Ignatius et al.		
	BO	5664448	9/09/1997	Swan et al.		
	BP	5726786	3/10/1998	Heflinger		
	BQ	5734343	3/31/1998	Urbish et al.		
	BR	5736925	4/07/1998	Knauff et al.		
	BS	5786918	7/28/1998	Suzuki et al.		
	BT	5808592	9/15/1998	Mizutani et al.		
	BU	5826965	10/27/1998	Lyons		
	BV	5828055	10/27/1998	Jebens		
	BW	5838259	11/17/1998	Tonkin		
	BX	5872646	2/16/1999	Alserman et al.		
	BY	5917637	6/29/1999	Iskikawa et al.		
	BZ	5931570	8/03/1999	Yamuro		
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>			
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EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	CA	5939996	08/17/1999	Kniveton et al.			
	CB	5959752	09/28/1999	Ota			
	CC	5960135	09/28/1999	Ozawa			
	CD	5965879	12/10/1999	Leviton			
	CE	5966073	10/12/1999	Walton			
	CF	6014237	01/11/2000	Abeles et al.			
	CG	6067010	05/23/2000	Wang			
	CH	6067011	05/23/2000	Leslie			
	CI	6067018	05/23/2000	Skelton et al.			
	CJ	6091025	07/18/2000	Cotter et al.			
	CK	6106137	08/22/2000	Adams et al.			
	CL	6159005	12/12/2000	Herold et al.			
	CM	6243492B1	06/05/2001	Kamei			
	CN	6249340B1	06/19/2001	Jung et al.			
	CO	6268788B1	07/31/2001	Gray			
	CP	6271913B1	08/07/2001	Jung et al.			
	CQ	6292575B1	09/18/2001	Bortolussi et al.			
	CR	6293904B1	09/25/2001	Blazey et al.			
	CS	6318886B1	11/20/2001	Stopa et al.			
	CT	6367949B1	04/09/2002	Pederson			
	CU	6380865	04/30/2002	Pederson			
	CV	6424269B1	07/23/2002	Pederson			
	CW						
	CX						
	CY						
	CZ						
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<b>FOREIGN PATENT DOCUMENTS</b>				
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>
	<b>DA</b>	0326668A2	8/09/1989	EP
	<b>DB</b>	0688696A2	12/27/1995	EP
	<b>DC</b>	1043189A2	10/11/2000	EP
	<b>DD</b>	60143150	7/29/1985	JP
	<b>DE</b>	GB2323618A	9/30/1998	UK
	<b>DF</b>	GB2359179B	8/15/2001	UK
	<b>DG</b>	GB2359180B	8/15/2001	UK
	<b>DH</b>	00/74975A1	12/12/2000	WO
	<b>DI</b>	01/10674A1	2/15/2001	WO
	<b>DJ</b>			
	<b>DK</b>			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)</b>				
	<b>EA</b>			
	<b>EB</b>			
	<b>EC</b>			
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